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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/653,754	09/03/2003	Stephan G. Meier	5500-97500	3663
53806 7590 07/09/2008 MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL (AMD) P.O. BOX 398 AUSTIN, TX 78767-0398			EXAMINER DILLON, SAMUEL A	
			ART UNIT 2185	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/653,754	<b>Applicant(s)</b> MEIER ET AL.	
	<b>Examiner</b> SAMUEL DILLON	<b>Art Unit</b> 2185	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 06 March 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3/6/08</u> .  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. The Examiner acknowledges the applicant's submission of the amendment dated March 6, 2008. Per the amendment, Claims 1, 2, 10, 13, 14 and 23 have been amended and Claim 29 has been added.

#### **I. ACKNOWLEDGEMENT OF INFORMATION DISCLOSURE STATEMENT**

2. The information disclosure statement (IDS) submitted on March 6, 2008 is in compliance with the provisions of 37 CFR 1.97. As required by M.P.E.P. ' 609 (C), the applicant's submission of the submitted IDS is acknowledged by the examiner and the cited references have been considered in the examination of the claims now pending. As required by M.P.E.P. ' 609 C(2), a copy of the PTOL-1449 initialed and dated by the examiner is attached to the instant office action.

#### **II. RESPONSE TO AMENDMENT(S) / ARGUMENT(S)**

3. Applicant's arguments with respect to the 35 U.S.C. 102(b) and 103(a) rejections have been fully considered but they are **not persuasive**. The rejections have been upheld, and the Applicant directed below for traversal.

4. **Regarding Claim 1, the Applicant contends (page 8-9) that Tran does not disclose storing values in a memory where each value is associated with a corresponding cache line, outputting those values in response to an indication of the first address, and generating the way prediction for the cache using those values and a first value that corresponds to the first address.** The Examiner respectfully disagrees. As noted by the Applicant, Tran discloses storing and selecting way predictions based on a portion of an input address. Therefore, Tran discloses storing values (*way predictions*) in a memory where each

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value is associated with a corresponding cache line (*associated with the cache memory location selected to output data from*), outputting those values in response to an indication of the first address (*outputting the way prediction from the memory*), and generating the way prediction for the cache using those values (*receiving the way prediction from the memory and using it*) and a first value that corresponds to the first address (*the first address was used to load the way prediction*). Accordingly, Tran does disclose the contested limitation.

5. **Regarding Claim 2, the Applicant contends (pgs. 9-10) that Tran does not disclose using the plurality of comparators to generate a way prediction.** The Examiner respectfully disagrees. Tran discloses that the comparators are used to determine if a requested cache line hits in the tag cache (*column 13 lines 10-20*), and that this information is used to verify and correct the way prediction (*column 14 lines 34-43*). Therefore, Tran does disclose the contested limitation.

6. Regarding all other Claims not specifically traversed above and whose rejections were upheld, the Applicant contends that the listed claims are allowable by virtue of their dependence on other allowable claims. As this dependence is the sole rationale put forth for the allowability of said dependent claims, the Applicant is directed to the Examiner's remarks above. Additionally, any other arguments the Applicant made that were not specifically addressed in this Office Action appeared to directly rely on an argument presented elsewhere in the Applicant's response that was traversed, rendered moot or found persuasive above.

### **III. REJECTIONS NOT BASED ON PRIOR ART**

#### **Claim Rejections - 35 USC ' 112**

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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8. **Claim 29** is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

9. Claim 29 recites the limitation “wherein the circuit is configured to signal the miss the second level cache”. It is unclear what this limitation is intending to require, as it appears that one or more transitional words are missing from the claim.

10. Claim 29 recites the limitation “configured to being an access corresponding to the first address responsive to signal from the circuit”.

#### **IV. REJECTIONS BASED ON PRIOR ART**

##### ***Claim Rejections - 35 USC ' 102***

11. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

12. **Claims 1-11, 13-21, 23, 27 and 28** are rejected under 35 U.S.C. 102(b) as being anticipated by Tran (US Patent No. 6,016,533).

13. For claim 1, Tran discloses a way predictor comprising:

a decoder configured to decode an indication of a first address that is to access a cache, the decoder configured to select a set responsive to the indication of the first address (decoder is coupled to the memory locations and storage locations, and is configured to receive and decode addresses, col. 3, lines 24-29);

a memory coupled to the decoder, wherein the memory is configured to output a plurality of values from a set of storage locations in response to the decoder selecting

the set, wherein each of the plurality of values corresponds to a different way of the cache (the decoder may be configured to select a subset of way predictions from a selected set based upon a portion of a requested address, col. 3, lines 41-44), wherein each of the plurality of values comprises a plurality of bits associated with a corresponding cache line stored in the cache (way prediction, figure 3); and

a circuit coupled to receive the plurality of values and a first value corresponding to the first address, wherein the circuit is configured to generate a way prediction for the cache responsive to the plurality of values and the first value (the cache memory uses portions of the requested address in parallel to reduce way prediction, col. 3, lines 5-7; a first portion of a requested address is used to select a set of way predictions within the plurality of storage locations, col. 3, lines 22-24).

14. For claim 2, Tran discloses the circuit comprises a plurality of comparators, wherein each comparator of the plurality of comparators is configured to compare a respective one of the plurality of values to the first value, and wherein the circuit is configured to generate the way prediction predicting a first way of the cache for which the corresponding value of the plurality of values matches the first value as indicated by the plurality of comparators (each array is coupled to receive a portion of a request address, and when data cache receives a requested address, a tag array uses an index portion of the requested address to access a particular set of tags, which are conveyed to a tag comparator, which receives a second portion of the requested address to compare with the selected set of tags; if one of the tags compares equal, there is a "hit" in the cache, and if none of the tags equal the second portion of the address, there is a "miss", col. 13, lines 10-20).

15. For claim 3, Tran discloses the circuit, if none of the plurality of values matches the first value, is configured to assert an early miss signal (the data cache is pipelined so that the next

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access is started before the validity of the previous way prediction is determined, col. 15, lines 31-40).

16. For claim 4, Tran discloses each of the plurality of values comprises a portion of a tag identifying a corresponding cache line in the cache, the portion excluding at least one bit of the tag (col. 3, lines 22-24; col. 13, lines 10-20; a cache line is read and output by the sense amp unit, if the requested address hits in the tag cache, the way prediction is verified by comparator which receives the way prediction after it is selected from way prediction array, col. 14, lines 34-39; offset bits from a request address are used to selected the requested bytes from the cache line, col. 14, lines 35-36).

17. For claim 5, Tran discloses each of the plurality of values is derived from at least a portion of the indication of the address identifying a corresponding cache line (col. 3, lines 22-24; col. 13, lines 10-20).

18. For claim 6, Tran discloses each of the plurality of values comprises a portion of one or more address operands used to generate the address (col. 3, lines 22-24; col. 13, lines 10-20).

19. For claim 7, Tran discloses at least one bit of one of the plurality of values is a logical combination of two or more bits of the address (a first portion of a requested address is used to select a set of way predictions stored within the plurality of storage locations..., a first subset of memory locations may be selected based upon a second portion of the requested address and the selected set of way predictions..., a second subset of memory locations may be selected based upon the third portion of the requested address..., in one embodiment, the second portion and third portion of said requested address may be the same portion of the requested address, col. 3, lines 22- 44).

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20. For claim 8, Tran discloses at least one bit of one of the plurality of values is a logical combination of two or more bits of one or more address operands used to generate the address (col. 3, lines 22-44).

21. For claim 9, Tran discloses the indication of the first address comprises at least a portion of the first address (col. 3, lines 22-24).

22. For claim 10, Tran discloses the indication of the first address comprises two or more address operands used to generate the first address (col. 3, lines 22-24).

23. For claim 11, Tran discloses if the way prediction is incorrect, the cache is configured to replace a cache line in the way indicated by the way prediction with a missing cache line corresponding to the first address (if there is no match found in the tags, a cache miss occurs, and the output data is canceled and the requested data is fetched from main memory, col. 15, lines 31-33).

24. Claim 13 is rejected using rationale as per rejection of claim 1 above, where Tran discloses both a cache memory and corresponding elements (col. 3, lines 19-67, col. 4, lines 1-4) as well as a method for accessing a cache array and reading a plurality of way predictions from a way prediction array for use with the cache memory and corresponding elements (col. 4, lines 5-24).

25. Claim 14 is rejected using rationale as per rejection of claims 2 and 13 above.

26. Claim 15 is rejected using rationale as per rejection of claims 3 and 14 above.

27. Claim 16 is rejected using rationale as per rejection of claims 4 and 13 above.

28. Claim 17 is rejected using rationale as per rejection of claims 5 and 13 above.

29. Claim 18 is rejected using rationale as per rejection of claims 6 and 17 above.

30. Claim 19 is rejected using rationale as per rejection of claims 7 and 17 above.

31. Claim 20 is rejected using rationale as per rejection of claims 8 and 17 above.



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32. Claim 21 is rejected using rationale as per rejection of claims 11 and 13 above.

33. For claim 23, Tran discloses an apparatus comprising:

a way predictor (a cache memory employing way prediction, col. 3, lines 4-5) comprising:

a decoder configured to decode an indication of a first address that is to access a cache, the decoder configured to select a set responsive to the indication of the first address (col. 3, lines 24-29);

a memory coupled to the decoder, wherein the memory is configured to output a plurality of values from the set in response to the decoder selecting the set, wherein each of the plurality of values corresponds to a different way of the cache, wherein each of the plurality of values comprises a plurality of bits associated with a corresponding cache line in the cache (col. 3, lines 41-44); and

a first circuit coupled to receive the plurality of values and a first value corresponding to the first address, wherein the first circuit is configured to generate a way prediction for the cache responsive to the plurality of values and the first value (col. 3, lines 5-7; col. 3, lines 22-24); and

a data cache data memory coupled to the way predictor, wherein the data cache data memory is arranged into a plurality of ways, and wherein the data cache data memory is configured to output data from a predicted way of the plurality of ways, wherein the predicted way is identified by the way prediction (data cache is a high speed cache memory provided to temporarily store data being transferred between load/store unit and the main memory subsystem, and may employ a way prediction mechanism, col. 10, lines 55-63), and wherein the data cache data memory includes a second circuit configured to reduce power consumption attributable to one or more non- predicted

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ways of the plurality of ways (die space and power consumption may be reduced through the use of one sense amp unit instead of multiple sense amp units, i.e., one sense amp unit per way or Column, col. 3, lines 8-16).

34. For claim 24, Tran discloses a data cache tag memory configured to output a tag from the predicted way (a tag array uses an index portion of the requested address to access a particular set of tags, which are conveyed to a tag comparator, which receives a second portion of the requested address to compare with the selected set of tags; if one of the tags compares equal, there is a "hit" in the cache, and if none of the tags equal the second portion of the address, there is a "miss", col. 13, lines 10-20). Tran does not explicitly disclose not outputting tags from the one or more non- predicted ways, however, this is inherently true in Tran's disclosure. It is only when there is a hit or a correct prediction in the cache that a tag is output and used, therefore a miss or a non-predicted way would, inherently not produce a tag to be used.

35. For claim 25, Tran discloses the second circuit is configured to generate separate wordlines for each of the plurality of ways in the data cache data memory, and wherein the second circuit is configured to activate a first wordline to the predicted way and to not activate word lines to the non-predicted ways responsive to the way prediction (fig. 3, items 50, 52, 54, 56; the data cache comprises a data array of a plurality of memory locations configured into columns, and each column is coupled to a corresponding sense amp unit, each which are coupled to way selection multiplexer and sense amp enable unit, col. 12, lines 20-25).

36. For claim 26, Tran discloses the second circuit includes column multiplexor circuitry coupled to the plurality of ways and configured to select the output of the predicted way as input to a sense amplifier circuit, wherein the column multiplexor circuitry is controlled by the way prediction (col. 12, lines 20-25).

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37. For claim 27, Tran discloses the second circuit includes column multiplexor circuitry coupled to the plurality of ways and configured to select the output of the predicted way as input to a sense amplifier circuit, wherein the column multiplexor circuitry is controlled by the way prediction (col. 12, lines 20-25).

38. For claim 28, Tran discloses the second circuit comprises a plurality of sense amplifier circuits, wherein each of the plurality of sense amplifier circuits is coupled to a respective one of the plurality of ways, and wherein each of the plurality of sense amplifier circuits includes an enable input that is controlled by the way prediction (data from one memory location is then selected for output by way selection multiplexer, which selects a particular column based upon a way prediction read from way prediction array, and the memory location at the intersection of the selected row and column is then read and output, col. 12, lines 39-44).

39. For claim 29, Tran discloses the apparatus further comprising a second level cache (the Examiner takes official notice that a second level cache is notoriously well known in the art, and that it would have been obvious to include a second level cache for the benefit of additional caching), and wherein the circuit is configured to detect a miss responsive to the plurality of values and the first value prior to the miss being detected in the data cache that corresponds to the data cache data memory (a miss that happened prior to the current access, possibly unrelated, column 1 lines 40-57), and wherein the circuit is configured to signal the miss the second level cache, and wherein the second level cache is configured to begin an access corresponding to the first address responsive to signal from the circuit (reading a value from a cache, column 1 lines 40-57).

**Claim Rejections - 35 USC ' 103**

40. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

41. **Claims 12 and 22** are rejected under 35 U.S.C. 103(a) as being unpatentable over Tran (US Patent No. 6,016,533) in view of Wickeraad et al (US Patent No. 6,490,1654).

42. For claim 12, Tran fails to disclose if no way prediction is generated and a cache miss results for the first address, the cache is configured to use a replacement algorithm to select the cache line to be replaced with the missing cache line.

Wickeraad discloses a cache memory replacement algorithm that replaces cache lines based on the likelihood that cache lines will not be needed soon (col. 4, lines 50- 52).

Tran and Wickeraad are analogous art in that they are of the same field of endeavor, that is, a system and/or method of memory control. Wickeraad suggests that it would have been desirable to incorporate a cache line replacement algorithm into the system of Tran because this allows data which is likely needed soon is assigned a higher replacement class, while data that is more speculative and less likely to be needed soon is assigned a lower replacement class (col. 5, lines 2-6). Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify Tran as suggested by Wickeraad to incorporate the feature as claimed.

43. Claim 22 is rejected using rationale as per rejection of claims 12 and 21 above.

44.

**V. CLOSING COMMENTS**

45. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

a. **STATUS OF CLAIMS IN THE APPLICATION**

46. The following is a summary of the treatment and status of all claims in the application as recommended by M.P.E.P. ' 707.07(i):

a(1). **CLAIMS REJECTED IN THE APPLICATION**

47. Per the instant office action, Claims 1-29 have received an action on the merits and are subject of a final action.

b. **DIRECTION OF FUTURE CORRESPONDENCES**

48. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sam Dillon whose telephone number is 571- 272-8010. The examiner can normally be reached on 9:30-6:00.

49. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sanjiv Shah can be reached on 571-272-4098. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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**IMPORTANT NOTE**

50. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAD/

Sam Dillon  
Examiner  
Art Unit 2185

/Sanjiv Shah/  
Supervisory Patent Examiner, Art Unit 2185